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This collection of information is required by 37 CFR 1.191. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form under suggestions for reducing its burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

It is recommended to complete the form and send it to the DIAO office at 1000 10th Street, Suite 200, Sacramento, CA 95814.

Adjustment date: 12/02/2004 SDIRETA1  
09/22/2004 WMEREDIT 00000001 090441 09488470  
01 FC:1401 330 00 RP

PAGE A18 \* RCVD AT 6/9/2014 11:11:27 PM [Eastern Daylight Time] \* SIRCUITO-EFXDF-1H \* DATE: 8729385 \* CSD: 403225112 \* DURATION (MM:SS) 02:44 HD 00:00:00

Adjustment date: 12/02/2004 SDIRETAL  
09722/2004 WMEREDIT 00000003 500219 09488470  
01 FC:140P 330.00 CR

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# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 330)

## Complete If Known

Application Number	09/488,470
Filing Date	01/20/2000
First Named Inventor	SUNDARESAN, Neelakantan
Examiner Name	Hung Q. Pham
Art Unit	2172
Attorney Docket No.	AM9-99-0199

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S F P 0 1 2004

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number 09-0441  
 Deposit Account Name International Business Machines

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee  
 to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 285	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** = 0	X \$18	= 0
Independent Claims	-3** = 0	X \$88	= 0
Multiple Dependent		\$290	= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	" Reissue independent claims over original patent
1205 18	2205 9	" Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 0)		

\*\*or number previously paid, if greater. For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 185	Notice of Appeal	
1402 330	2402 185	Filing a brief in support of an appeal	330
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 330)	

(Complete if applicable)

SUBMITTED BY	Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Signature	Samuel A. Kassally	32,247	408-323-5111

Date 08/21/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		Docket Number (Optional) AM9-99-0199
In re Application of <b>SUNDARESAN, Neelakantan</b>		
Application Number <b>09/488,470</b>		Filed <b>01/20/2000</b>
For <b>"System and Method for Integrating On-Line User Ratings of Businesses with Search Engines"</b>		
Group Art Unit <b>2172</b>	Examiner <b>Hung Q. Pham</b>	

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows  
(check time period desired):

- One month (37 CFR 1.17(a)(1))
- Two months (37 CFR 1.17(a)(2))
- Three months (37 CFR 1.17(a)(3))
- Four months (37 CFR 1.17(a)(4))
- Five months (37 CFR 1.17(a)(5))

Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ \_\_\_\_\_.

A check in the amount of the fee is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Commissioner has already been authorized to charge fees in this application to a Deposit Account.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 50-0219.

I have enclosed a duplicate copy of this sheet.

I am the  applicant/inventor

- assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- attorney or agent of record.
- attorney or agent under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a) \_\_\_\_\_.

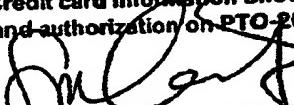
**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

08/21/2004

Date

09/22/2004 WHEREIT 00000002 500219 09488470

01 FC:125 110.00 DA



Signature

Samuel A. Kassally

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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SEP 01 2004

Technology Center 2100

\$ 110.00  
\$ \_\_\_\_\_  
\$ \_\_\_\_\_  
\$ \_\_\_\_\_  
\$ \_\_\_\_\_

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SEP. 01 2004

Technology Center 2100

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SEP 01 2004  
TECH 2100  
BOARDS OF PATENT APPEALS AND INTERFERENCES

**BEST AVAILABLE COPY****SAMUEL A. KASSATTY**

Attorney-at-Law

Technology and Intellectual Property Matters

20690 Vibew Oaks Way  
 San Jose, CA 95120  
 Telephone: (408) 323-5111  
 Facsimile: (408) 521-0111

orbsak@pacbell.net

**FACSIMILE TRANSMITTAL**

DATE: October 23, 2004	FROM: Samuel A. Kassatty
TO: ATTENTION: REFUND SECTION,	TELEPHONE NO.: (408) 323-5111
ACCOUNTING DIVISION, OFFICE OF FINANCE	FACSIMILE NO.: (408) 323-5112
U.S. Patent and Trademark Office	ATTY DOCKET NO.: ARC920030066US1 (IBM-169)
<u>FACSIMILE NO.:</u> <b>703-308-5077</b>	SUBJECT: Request for Refund
	Deposit Account: 500219

**Title:** "System and Method for Integrating On-Line User Ratings of Businesses with Search Engines"

**Applicant(s):** SUNDARESAN, Neelakantan

**Attorney Docket No.:** AM9-99-0199

**Serial No.:** 09/488,470

**Examiner:** Hung Q. Pham

**Filed:** 01/20/2000

**Art Unit:** 2172

TOTAL NUMBER OF PAGES INCLUDING THIS COVER PAGE 5

Respectfully submitted,

Samuel A. Kassatty  
 Reg. No. 32,247

Enclosure: Request for Refund

**CERTIFICATE OF FAXING**

I hereby certify that this correspondence is being faxed to the U.S. Patent and Trademark Office, to fax No. 703-308-5077, on October 23, 2004.

Samuel A. Kassatty

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Sir:

**REQUEST FOR REFUND**  
 (Improper charge of Deposit Account)

**I. REFUND REQUEST**

This is a request for a refund with respect to the charge to Deposit Account 500219 shown on the enclosed statement dated June 2004 for the above-identified

application

patent

A copy of the monthly statement in which the error referred to occurs, accompanies this request.

	AMOUNT OF REFUND REQUESTED
<input type="checkbox"/> filing fee	_____
<input type="checkbox"/> surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(c))	_____
and/or	
<input type="checkbox"/> surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(c))	_____
<input type="checkbox"/> extension of term	_____
<input type="checkbox"/> first month	_____
<input type="checkbox"/> second month	_____
<input type="checkbox"/> third month	_____
<input type="checkbox"/> fourth month	_____
<input type="checkbox"/> excess dependent claims	_____
<input type="checkbox"/> excess independent claim	_____
<input type="checkbox"/> issue fee	_____
<input type="checkbox"/> petition fee	_____
<input type="checkbox"/> patent maintenance fee	_____
<input type="checkbox"/> first maintenance fee	_____
<input type="checkbox"/> second maintenance fee	_____
<input type="checkbox"/> third maintenance fee	_____
<input type="checkbox"/> patent maintenance fee surcharge	_____
<input type="checkbox"/> Assignment Recordation	_____
<input checked="" type="checkbox"/> other: Filing fee for Appeal Brief _____	<u>\$330</u>
_____	_____
_____	_____
<b>TOTAL REFUND REQUESTED</b>	<b><u>\$330</u></b>

**BEST AVAILABLE COPY**

**II. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

Enclosed please find a copy of the fee transmittal form in the subject patent application clearly indicating that the fee is to be charged to the following deposit account: 09-0441.

**III. MANNER OF REFUND**

Please make refund by

- [X] crediting Account No. 500219 - Samuel A. Kassatly Law Office  
[ ] refunding payment

Respectfully submitted,



Samuel A. Kassatly (Reg. No. 32,247)

October 6, 2004

Samuel A. Kassatly Law Office  
20690 View Oaks Way  
San Jose, CA 95120  
Telephone no. (408) 323-5111  
Facsimile no. (408) 521-0111  
Attorney Docket No. IBM-013.ARC

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032  
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for FY 2004**

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 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 330)

**Complete if Known**

Application Number	09/488,470
Filing Date	01/20/2000
First Named Inventor	SUNDARESAN, Neelakantan
Examiner Name	Hung Q. Pham
Art Unit	2172
Attorney Docket No.	AM9-99-0199

**METHOD OF PAYMENT (check all that apply)**
 Check  Credit card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number:

International Business Machines

The Director is authorized to: (check all that apply)

- 
- Charge fee(s) indicated below
- 
- Credit any overpayments
- 
- 
- Charge any additional fee(s) or any underpayment of fee(s)
- 
- 
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

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1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>			

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	0	\$18 = 0
Independent Claims	-3** =	0	\$86 = 0
Multiple Dependent			\$290 = 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	" Reissue independent claims over original patent
1205 18	2205 9	" Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) (\$ 0</b>		

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
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1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
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1255 2,010	2255 1,005	Extension for reply within fifth month	
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1403 280	2403 145	Request for oral hearing	
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1453 1,330	2453 665	Petition to revive - unintentional	
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1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid		<b>SUBTOTAL (3) (\$ 330</b>	

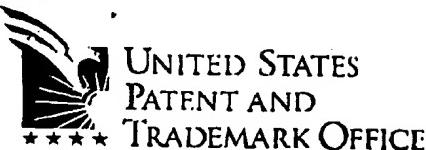
(Complete if applicable)

Name (Print/Type)	Samuel A. Kassatly	Registration No. (Attorney/Agent)	32,247	Telephone	408-323-5111
Signature				Date	08/21/2004

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## MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

SAMUEL A KASSATLY

Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

20690 VIEW OAKS WAY

FINA

SAN JOSE CA 95120

Account No.
500219
Date
9-30-04
Page
1

PLEASE SEND REMITTANCES TO:  
U. S. Patent and Trademark Office  
P.O. Box 70541  
Chicago, IL 60673

DATE POSTED MO. DAY YR.	CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
9 22 04	2	09488470	AM9-99-0199	1251	110.00	2813.00
9 22 04	3	09488470	AM9-99-0199	1402	330.00	2483.00
					<i>Contested amount</i>	

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT

OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
2923.00	440.00	0.00	2483.00